



Receipt \$  
#3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-1720)

In re Application of: )  
Asp, et al. )  
Serial No.: 09/993,211 ) Examiner: TBA  
Filed: November 16, 2001 ) Art Unit: 1614  
For: Method for the Treatment of Cardiotoxicity )  
Induced by Antitumor Compounds )

COPY OF PAPERS  
ORIGINALLY FILED

TRANSMITTAL LETTER

Asst. Commissioner for Patents  
Washington, D.C. 20231

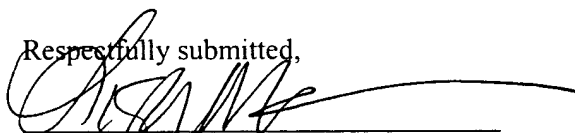
Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
  - a) Response to Notice to File Missing Parts;
  - b) Request for Corrected Filing Receipt;
  - c) Filing Fee;
  - d) Return postcard
2. With respect to fees:
  - a) A check in the amount of \$130.00 is enclosed.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on January 23, 2002.

Date: January 23, 2002

Respectfully submitted,

  
Lisa M. W. Hillman  
Registration No. 43,673



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	BOX MISSING PARTS
Asp, et al.	)	
	)	Group Art Unit: 1614
Serial No.: 09/993,211	)	
	)	Examiner: TBD
Filed: November 16, 2001	)	
	)	Docket No.: 01-1720

For: **Method for the Treatment of Cardiotoxicity Induced by Antitumor Compounds**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231

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ORIGINALLY FILED

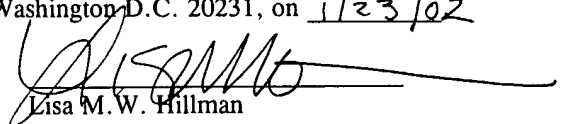
Dear Sir:

The attached executed declaration is submitted in response to the Notice to File Missing Parts mailed on December 12, 2001, in the above-mentioned case. A check for one hundred thirty dollars (\$130) for an oath or declaration surcharge is enclosed. It is believed that no further fee is due to make this filing complete; however, if a fee or credit is due the Commissioner is authorized to charge or credit our Deposit Account No. 13-2490.

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington D.C. 20231, on 1/23/02

Date: 1/23/02

  
Lisa M.W. Hillman

Respectfully submitted,

Date: 1-23-02

By:

  
Lisa M. W. Hillman

Registration No.: 43,673

McDonnell, Boehnen,  
Hulbert & Berghoff  
300 South Wacker Drive  
Chicago, IL 60606  
(312) 913-0001

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,211	11/16/2001	Beryl Asp	01-1720

CONFIRMATION NO. 8080

## FORMALITIES LETTER



\*OC000000007183762\*

Lisa M.W. Hillman  
McDonnell Boehnen Hulbert & Berghoff  
32nd Floor  
300 S. Wacker Drive  
Chicago, IL 60606

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Date Mailed: 12/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/25/2002 SMINASS1 00000032 09993211

01 FC:105

130.00 OP



PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-1720)

In the Application of: )  
 )  
Asp, et al. )  
 ) Art Unit: 1614  
Serial No.: 09/993,211 )  
 ) Examiner: Not Assigned  
Filed: November 16, 2001 )  
 )  
Title: **Method for the Treatment of** )  
 **Cardiotoxicity Induced by Antitumor** )  
 **Compounds** )

**REQUEST FOR CORRECTED FILING RECEIPT**

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ORIGINALLY FILED**

Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Processing Division  
Customer Correction Branch

Dear Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is incorrectly entered.

**Error In**

Applicant(s): Beryl Asp, Kalamazoo, MI;  
Angela Ongden, Long Valley, NJ;  
Langdon Miller, Lebanon, NJ;  
Arturo Podesta, Milano, ITALY

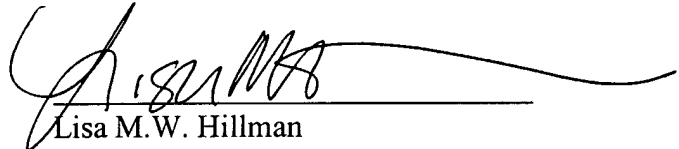
**Correct Data**

Applicant(s): Beryl Asp, Bridgewater, NJ;  
Angela Ongden, Long Valley, NJ;  
Langdon Miller, Lebanon, NJ;  
Arturo Podesta, Milano, ITALY

3. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

Date: January 23, 2002



\_\_\_\_\_  
Lisa M.W. Hillman  
Registration No. 43,673



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/993,211	11/16/2001	1614	1616	01-1720		22	13

CONFIRMATION NO. 8080

## FILING RECEIPT



\*OC000000007183761\*

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Lisa M.W. Hillman  
McDonnell Boehnen Hulbert & Berghoff  
32nd Floor  
300 S. Wacker Drive  
Chicago, IL 60606

Date Mailed: 12/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Beryl Asp, ~~Kalamazoo, MI~~ Bridgewater, NJ  
Angela Ongden, Long Valley, NJ;  
Langdon Miller, Lebanon, NJ;  
Arturo Podesta, Milano, ITALY;

DOCKETED

DEC 18 2001  
IDS Due - 2/14/2002  
DUE DATE: Foreign Filing Due - 1/14/02  
BY: KB PMZ

Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 12/10/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Method for the treatment of cardiotoxicity induced by antitumor compounds

Preliminary Class

514

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).